

Format of Undertaking for reactivation of client's trading account

Date:

To,
Nine Star Broking Pvt. Ltd.
Regd. Off. DBS Buisness Centre, DBS House, 10/2 Hungerford Street, Kolkata-700017 WB
Corp. Off- 112 Parvati Shiv Kripa Tower, 1st A-B Road, Sardarpura, Jodhpur-342003

Sir,

I/we _____, having trading account with Unique Client Code _____ allotted to me/us, since _____ (date of activation of the account).

I/we am/are not trading in Cash/F&O segment on NSE/BSE/NCDEX/MCX trading platform from last 12 months. However, I/we am/are desirous to start trading again on the NSE/BSE/NCDEX/MCX platform. In this regard, you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/we hereby undertake that:

1. I/We have completed all the KRA/KYC formalities and submitted all the required documents thereof (Proof of Identity, Address Proof, Bank Proof, PAN, etc.), at the time of opening the trading account originally and enrolling as a client with you.
2. There are no changes in respect of my/our Address, Bank account, PAN details, as provided to you earlier. Further, there is no material change in the other information provided to you in KYC Form.

I/we declare that the information given above is true to my/our knowledge. I/we, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours Faithfully,

(Signature of Client)

SELF DECLARATION FOR FINANCIAL & PERSONAL DETAILS (For Individuals/HUF)

Date: _____

To,
Nine Star Broking Limited
112, Parvati Shiv Kripa Tower,
1st A-B Road, Sardarpura,
Jodhpur-342003 Rajasthan

I _____ (Name of the client) having trading code _____ hereby provide following information.

1. Occupation _____ Nature of business: _____
2. Specify your income range for the year ended with date (DD/MMM/YYYY) by ticking against the relevant slab: ☐ Below Rs. 1 lac ☐ Rs1-5 lacs ☐ 5-10 lacs ☐ 10-25 lacs ☐ more than 25 lacs
3. * Marital Status:- ☐ Married / ☐ Unmarried
4. Net worth: Rs. _____ as on Date (DD/MMM/YYYY)
5. Are you politically exposed person*: Yes/no
6. Is your family member or close relative a politically exposed person**: Yes/no

I hereby confirm that above declaration is correct

Name of the client - _____

Signature: _____

Client Code: _____